



INFORMATION DISCLOSURE STATEMENT TRANSMITTAL

To Commissioner For Patents

Enclosed herewith is a Form PTO-1449, required copies of documents listed thereon, and a concise explanation of their relevance is described below or enclosed herewith per 37 CFR 1.97.

Application Number

Filing Date

CONCURRENTLY

First Named Inventor

QIONG LI ET AL

Group Art Unit

Examiner Name

Attorney Docket Number

US 020053

02499 U.S. PTO
10/042752



These documents may be relevant in that they have been:

- ☐ considered in drafting the specification of the above-referenced application;
- ☒ cited in the specification of the above-referenced application;
- ☐ previously submitted or cited in U.S. patent application(s) _____ which are relied on for an earlier effective filing date under 35 U.S.C. 120 (no copy required); or
- ☐ cited as an "X" or "Y" document in a foreign Patent Office search report on a foreign counterpart application, a copy of which report is also enclosed;
- ☐ I hereby certify that these documents were first cited in any communication with a foreign Patent Office for a counterpart foreign application not more than three (3) months ago;
- ☐ otherwise a concise explanation of the relevance of each document is append hereto.
- ☐ I hereby certify that not one of these documents was cited in any communication with a foreign Patent Office nor was any known to any individual designated in §1.56(c) more than three (3) months ago.

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SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT REQUIRED

Name (Print Type)	RUSSELL GROSS	Registration No. (Attorney/Agent)	40,007
Signature		Date	2/2/02



Form 278-1449
COMMERCE
(REV. 7-80) PATENT AND TRADEMARK OFFICE

Atty. Docket No.
US 020053

Serial No.

Applicant
QIONG LI ET AL

Filing Date
CONCURRENTLY

Group

INFORMATION DISCLOSURE CITATION
(Use several sheets if necessary)

02499 U.S. PTO
10/042762



U.S. PATENT DOCUMENTS

Ex. Int		Document Number	Date	Name	Class	Sub-class	Filing Date If Approp.
	AA	5 6 2 1 6 6 0	04/1995	CHADDHA ET AL	364	514	
	AB	5 7 4 2 8 9 2	04/1995	CHADDHA	455	5.1	
	AC	5 7 6 8 5 3 5	04/1997	CHADDHA ET AT	395	200.77	
	AD	5 9 2 8 3 3 1	10/1997	BUSHMITCH	709	231	
	AE	5 9 4 1 9 5 1	10/1997	DAY ET AL	709	233	
	AF	6 0 9 1 7 7 7	05/1998	GUETZ ET AL	375	24	

FOREIGN PATENT DOCUMENTS

	Document Number	Date	Country	Class	Sub-class	Trans.
						Yes No
	AG					X
	AH					X
	AI					
	AJ					
	AK					

OTHER (Including Author, Title, Date, Pertinent Pages, Etc.)

AL	STEVEN McCANNE ET AL, "RECEIVER-DRIVEN LAYERED MULTICAST" (SIGCOMM-AUGUST 1996, STANFORD, CA).
AM	STEVEN McCANNE ET AL, "LOW-COMPLEXITY VIDEO CODING FOR RECEIVER-DRIVEN LAYERED MULTICST", JANUARY 11, 1997.
AN	

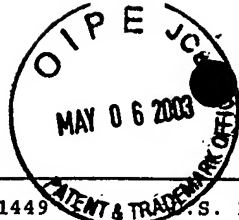
Examiner

Date Considered

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with M 609; Draw line through citation if not in conformance and not considered.
Include a copy of this form with next communication to applicant.



Form PTO-101 U.S. DEPARTMENT OF COMMERCE (REV. 7-80) PATENT AND TRADEMARK OFFICE		Atty. Docket No. US 020053		Serial No.											
		Applicant QIONG LI													
		Filing Date CONCURRENTLY		Group											
INFORMATION DISCLOSURE CITATION (Use several sheets if necessary)															
U.S. PATENT DOCUMENTS															
Ex. Int		Document Number							Date	Name	Class	Sub-class	Filing Date If Approp.		
	AA	6	0	9	4	6	3	6	11/1997	KIM	704	500			
	AB	6	1	7	3	0	1	3	11/1997	SUZUKI ET AL	375	240.16			
	AC	6	2	1	5	7	6	6	01/1998	AMMAR ET AL	370	229			
	AD	6	2	3	3	0	1	7	06/1997	CHADDHA	348	412			
	AE	6	2	5	6	6	2	3	06/1998	JONES	707	3			
	AF	6	2	6	3	0	2	2	07/1999	CHEN ET AL	375	240.03			
FOREIGN PATENT DOCUMENTS															
		Document Number							Date	Country	Class	Sub-class	Trans.		
													Yes	No	
	AG														X
	AH														X
	AI														
	AJ														
	AK														
OTHER (Including Author, Title, Date, Pertinent Pages, Etc.)															
	AL														
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	AN														
Examiner										Date Considered					
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		Applicant QIONG LI ET AL					
		Filing Date CONCURRENTLY		Group			
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U.S. PATENT DOCUMENTS							
Ex. Int		Document Number	Date	Name	Class	Sub- class	Filing Date If Approp.
	AA	6 2 6 6 8 1 7	04/1998	CHADDHA	725	146	
	AB	6 2 7 5 5 3 1	10/1998	LI	375	240.12	
	AC	6 2 7 5 8 4 7	01/1999	ROBINSON	709	201	
	AD	0 0 1 6 0 0 8	10/1998	BAHL ET AL	375	240.18	
	AE	0 0 2 3 4 2 9	02/1999	BARKER ET AL	709	203	
	AF						
FOREIGN PATENT DOCUMENTS							
		Document Number	Date	Country	Class	Sub- class	Trans. Yes No
	AG						X
	AH						X
	AI						
	AJ						
	AK						
OTHER (Including Author, Title, Date, Pertinent Pages, Etc.)							
	AL						
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	AN						
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